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Douglas M. Lindsey  
U.S. Patent & Trademark Office  
Office of Finance - RAD  
RAM Administration  
(571) 273-6500

July 1, 2005

Date of Deposit

Timothy J. Le Duc, Reg. No. 54,745

Name of applicant, assignee or  
Registered Representative

  
Signature

July 1, 2005  
Date

Our Case No. 10022/495

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Mei Chuah

Serial No. 10/072,421

Filing Date: February 5, 2002

Title: **DISPLAY PARTICULARLY CONFIGURED  
FOR VISUALIZING TRENDS IN DATA**

)  
)  
)  
) Examiner: Daniel S. Felton

)  
) Group Art Unit No. 3624  
)  
)

**REQUEST FOR REFUND**

Douglas M. Lindsey  
U.S. Patent & Trademark Office  
Office of Finance - RAD  
RAM Administration  
(571) 273-6500

Dear Sir:


Under 37 C.F.R. § 1.26 and M.P.E.P. § 509, Applicants herein request a refund of \$450.00 from the U.S. Patent and Trademark Office. On February 23, 2005, Applicants submitted an Amendment and Response to Restriction Requirement, authorizing use of the deposit account, for payment for additional filing fees including any extension fee. On March 24, 2005, the U.S. Patent

Serial No. 10/072,421

10022/495 (01349-00/US)

and Trademark Office deducted \$450.00 from the Brinks Hofer Gilson & Lione Deposit Account No. 23-1925 as payment of this fee. A copy of the deposit account statement showing deduction from the account is attached.

Respectfully submitted,

  
Timothy J. LeDuc  
Registration No. 54,745  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

**Deposit Account Statement**

Requested Statement Month: Mar-05  
 Deposit Account Number: 231925  
 Name: BRINKS HOFER GILSON & LIONE  
 Attention: BRUCE WEISSEG  
 Address: P O BOX 10395  
 City: CHICAGO  
 State: IL  
 Zip: 60610

DATE	SEQ	SERIAL NUMBER	DOCKET NUMBER	FEE CODE	AMT	BAL
23-Mar	253	155540556	100022-160	8014	\$25.00	\$41,721.00
23-Mar	254	155540556	100022-160	8023	\$40.00	\$41,681.00
23-Mar	1434	78171004	11684-91	7004	\$300.00	\$41,201.00
23-Mar	1543	78593178	2817-328	7001	\$325.00	\$40,876.00
						\$40,426.00
24-Mar	4	10505815	10788-10	9204	(\$40.00)	\$40,466.00
24-Mar	24	PCT/US05/08759	12264-44	1601	\$300.00	\$40,166.00
24-Mar	25	PCT/US05/08759	12264-44	1704	\$2,075.00	\$38,091.00
24-Mar	26	PCT/US05/08759	12264-44	1702	\$1,211.00	\$36,880.00
24-Mar	27	PCT/US05/08759	12264-44	1703	\$403.00	\$36,477.00
24-Mar	28	PCT/US05/08759	12264-44	8007	\$20.00	\$36,457.00
25-Mar	4	10674905	10808-99	1484	\$130.00	\$36,327.00
25-Mar	4	10302110	10555-085	2613	\$400.00	\$35,927.00



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1458  
Alexandria, Virginia 22311-1458  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/072,421	02/05/2002	Mei Chieh	426822005100	2981
28164	7390	12/13/2004	EXAMINER	
ACCENTURE CHICAGO 28164 BRINKS HOFER GILSON & LIONE P O BOX 10395 CHICAGO, IL 60610			FELTEN, DANIEL S	
			ART UNIT	PAPER NUMBER
			3624	

DATE MAILED: 12/13/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No. 10/072,421	Applicant(s) AH, MEI	
Examiner Daniel S Felten	Art Unit 3824	<i>NW</i>

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

**A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.**

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 30 September 2004.  
 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.  
 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-55 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.  
 6) ☐ Claim(s) \_\_\_\_\_ is/are rejected.  
 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.  
 8) ☒ Claim(s) 1-55 are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.  
 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) ☐ All b) ☐ Some \* c) ☐ None of:  
 1. ☐ Certified copies of the priority documents have been received.  
 2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)  
 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)  
 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
 Paper No(s)/Mail Date \_\_\_\_\_  
 4) ☐ Interview Summary (PTO-413)  
 Paper No(s)/Mail Date \_\_\_\_\_  
 5) ☐ Notice of Informal Patent Application (PTO-152)  
 6) ☐ Other: \_\_\_\_\_

U.S. Patent and Trademark Office  
 PTO/CDR (Rev. 1/04)

Application/Control Number: 072,421  
Art Unit: 3624

Page 2

**DETAILED ACTION*****Election/Restrictions***

1. Claims 1-55 are generic to a plurality of disclosed patentably distinct species comprising:

Group I: Claims 1-46 are directed to a method to generate a display representing.

Group II: Claims 47-55 are directed to a method of tracking investment money.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species, even though this requirement is traversed.

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

2. Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Application/Control Number: 072,421  
Art Unit: 3624

Page 3

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Daniel S Felten whose telephone number is (703) 305-0724. The examiner can normally be reached on Flex.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vincent Millin can be reached on (703) 308-1065. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Daniel S Felten  
Examiner  
Art Unit 3624

DSF  
December 01, 2004



VINCENT MILLIN  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 3600

**FACSIMILE COVER SHEET**

Date: July 1, 2005  
To: Douglas M. Lindsey  
Fax No: (571) 273-6500  
From: Timothy J. Le Duc  
Tel. No: (312) 321-4200

Client No: 10022/495

No. of Pages  
(inc. this page): 9

Confirmation Copy To Follow: Yes ☐ No ☒


IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
PLEASE CALL 312-321-4200 AND ASK FOR: Dawn M. Cluff, x-4372

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

**COVER MESSAGE:**

2005 JUL -1 PM 1:21



<b>CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8</b> I hereby certify that this correspondence is being sent by facsimile to: Douglas M. Lindsey, U.S. Patent & Trademark Office, Office of Finance - RAD, RAM Administration, (571) 273-6500 Date: <u>July 1, 2005</u> Name: <u>Timothy J. Le Duc, Reg. No. 54,745</u> Signature: 	
---	--

**BRINKS**  
**HOFER**  
**GILSON**  
**& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: **Mei Chuah**

Appln. No.: **10/072,421**

Filed: **February 5, 2002**

For: **DISPLAY PARTICULARLY CONFIGURED  
FOR VISUALIZING TRENDS IN DATA**

Attorney Docket No: **10022/495**

Examiner: **Daniel S. Felton**

Art Unit: **3624**

Douglas M. Lindsey  
 U.S. Patent & Trademark Office  
 Office of Finance - RAD  
 RAM Administration  
 (571) 273-6500

**TRANSMITTAL**

Sir:

Attached is/are:

- ☒ Transmittal Letter (in dup.); Request for Refund; copy of Office Action; a copy of Deposit Account Statement  
☐ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

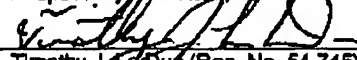
					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim						+\$180=			+\$360=
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

1 July 2005  
 Date

Respectfully submitted,

  
 Timothy J. Le Duc (Reg. No. 54,745)



U.S.P.S. EXPRESS MAIL "POST OFFICE TO ADDRESSEE" SERVICE  
DEPOSIT INFORMATION

Express Mail Label No.: EV325988376US

Date of Deposit: February 23, 2005

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Mei Chuah

Appln. No.: 10/072,421

Filed: February 5, 2002

For: DISPLAY PARTICULARLY CONFIGURED  
FOR VISUALIZING TRENDS IN DATA

Examiner: Daniel S. Felton

Art Unit: 3624

Attorney Docket No: 10022/495

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in dup.); Amendment and Response to Restriction Requirement]  
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

23 Feb 2005

Date

Respectfully submitted,

Timothy J. Le Duc  
Timothy J. Le Duc (Reg. No. 54,745)

Adjustment Date: 08/03/2005 SDIRETA1  
03/24/2005 DNASH1 00000002 231925 10072421  
01 FC:1252 450.00 CR

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